

# High Level Disinfection and Sterilization Options for Flexible Endoscopes



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# Learning Objectives

Upon completion of this program, you will be able to:

- Review current standards and guidelines regarding flexible endoscopes
- Discuss the advantages and disadvantages of high level disinfection and sterilization methods

# Definitions

- Regulations

- A rule or directive made and maintained by an authority
- Mandatory

- Standards

- Provide requirements and specifications that can be used to ensure consistency and fit for purpose
- National and International (often the same, often not)
- Voluntary, but can become mandatory
  - Act of legislation – New Jersey adoption of standards published by Association for the Advancement of Medical Instrumentation (AAMI)
  - If you claim compliance

# Definitions, continued

- Guidelines, Recommended Practices, Technical Information reports
  - Technical guidance, information or preferred procedures regarding a given topic
    - e.g., AAMI TIRs, AORN Guidelines for Perioperative Practice
  - Voluntary but with interpretation

# Regulatory Agencies

 U.S. Food and Drug Administration

  
Centers for Medicare & Medicaid Services

  
Occupational Safety  
and Health Administration  
[www.osha.gov](http://www.osha.gov)



# Agencies that Provide Accreditation Services





# Groups That Provide Standards and Guidelines



# Guidelines

- CDC Guideline for Decontamination and Sterilization in Healthcare Facilities
- ASGE/SHEA/SGNA/APIC: Multi-society guideline on reprocessing flexible gastrointestinal endoscopes
- SGNA: Standards of Infection Control in Reprocessing of Flexible Gastrointestinal Endoscopes
- SGNA: Guideline for Use of High Level Disinfectants & Sterilants for Reprocessing Flexible Gastrointestinal Endoscopes
- AORN Guideline for Cleaning and Processing Flexible Endoscopes and Endoscope Accessories

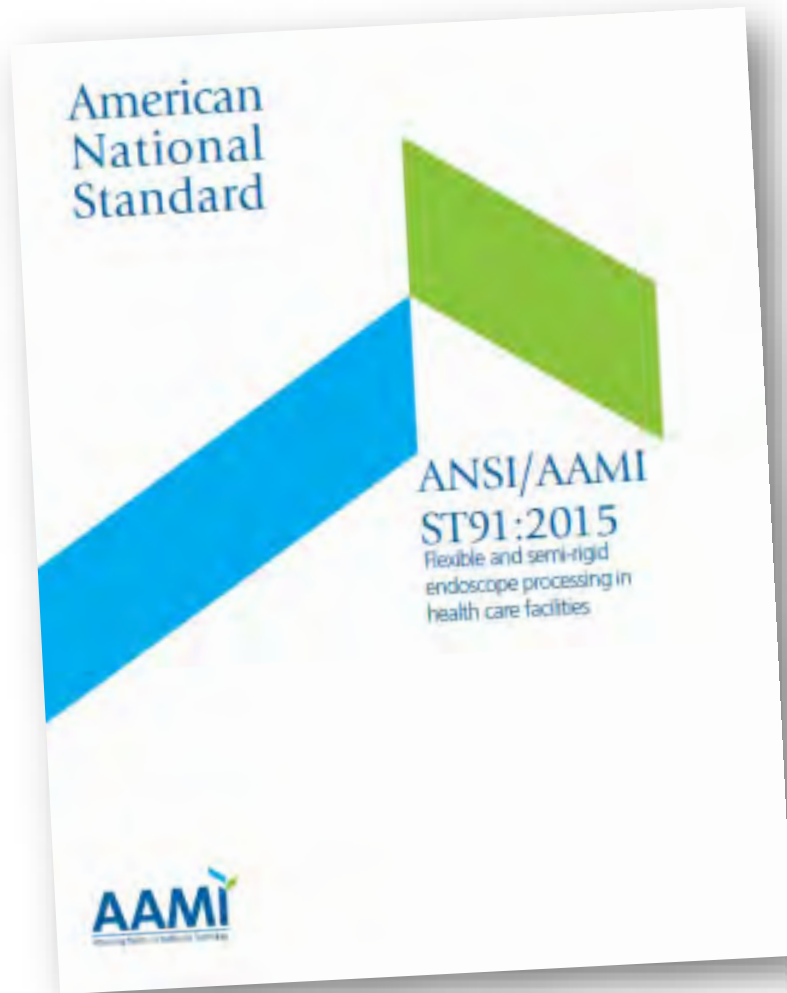


# Association for the Advancement of Medical Instrumentation User Standards

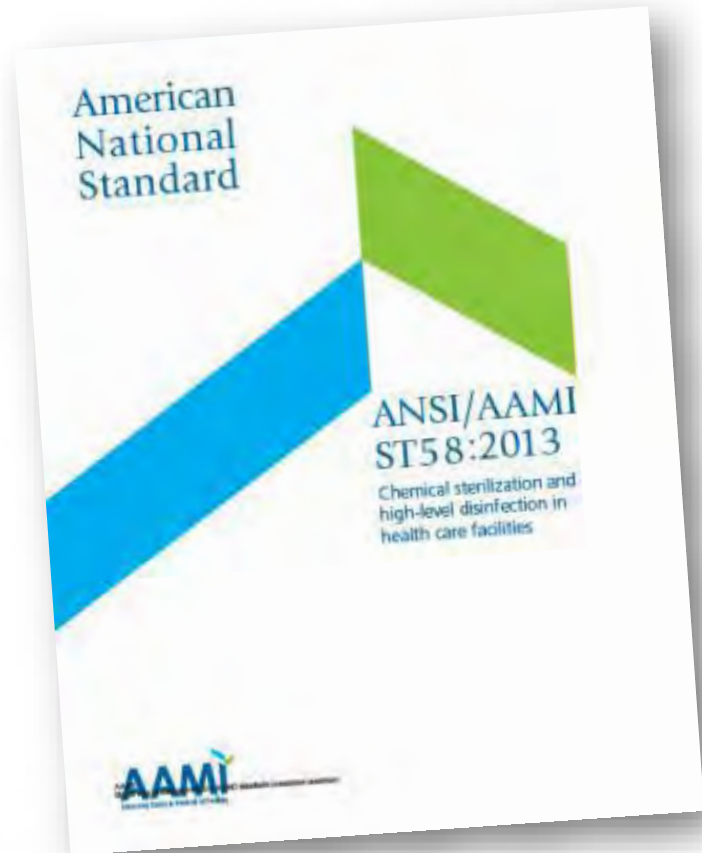
- **ANSI/AAMI ST91** - Flexible and semi-rigid endoscope processing in health care facilities
- **ANSI/AAMI ST58** - Chemical sterilization and high-level disinfection in healthcare facilities
- **AAMI/ANSI ST41** - Ethylene oxide sterilization in healthcare facilities, safety and effectiveness
- **AAMI TIR34** - Water for reprocessing of medical devices

# ANSI/AAMI ST91

Flexible and semi-rigid  
endoscope reprocessing  
in health care facilities



# ANSI/AAMI ST58



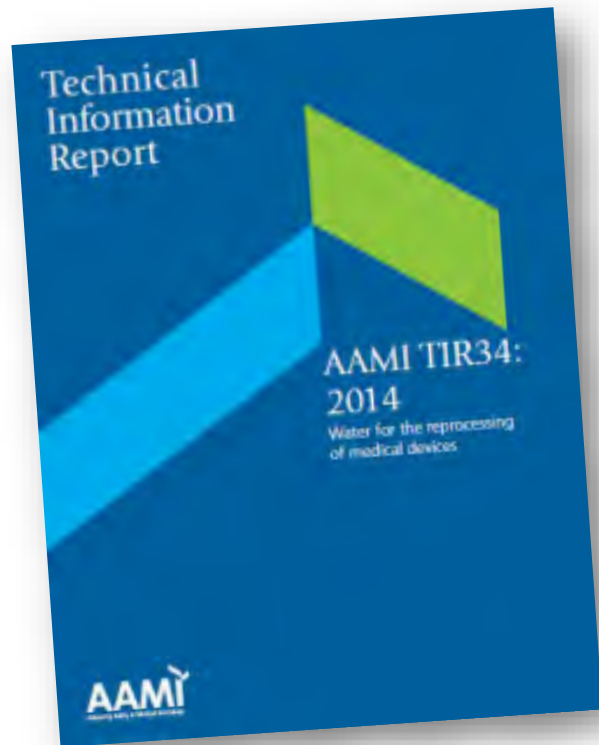
Guidelines for the selection and use of liquid chemical sterilants (LCSs)/high-level disinfectants (HLDs) and gaseous chemical sterilizers for use in hospitals and other health care facilities

# ANSI/AAMI ST41

Ethylene oxide sterilization  
in health care facilities:  
Safety and effectiveness



# AAMI TIR34 - Endoscopy Water



- Utility water:
  - Washing, rinsing soil and cleaning chemistry
  - Meets quality requirements from device and cleaning chemistry manufacturer
- Critical water:
  - Extensively treated for final rinse
- Automated Endoscope Reprocessors (AERs):
  - Incoming water does not contain not contain excessive microbial levels
  - Filtration used for bacteria-free quality
  - Critical water

# FDA Guidance

## Reprocessing Medical Devices in Health Care Settings: Validation Methods and Labeling

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### Guidance for Industry and Food and Drug Administration Staff

Document issued on: March 17, 2015

This document supersedes: “Labeling Reusable Medical Devices for  
Reprocessing in Health Care Facilities: FDA Reviewer Guidance” (available  
at

<http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/UCM080268.pdf>) issued April 1996.

The draft of this document was issued on May 2, 2011.






# Increased Focus on Reprocessing Validations

- Quality of Reprocessing Instructions
- Attention to Human Factors
- Cleaning Validations

***“It is important to note that cleaning, disinfection and sterilization are distinctly different processes.” - FDA***

# Spaulding Classification

Patient Contact	Examples	Device Classification	Minimum Inactivation Level
Intact skin	 A stethoscope and a digital blood pressure cuff are shown as examples of non-critical devices.	Non-Critical	Cleaning and/or Low/Intermediate Level Disinfection
Mucous membranes or non-intact skin	 An endotracheal tube and surgical forceps are shown as examples of semi-critical devices.	Semi-Critical	Cleaning and Sterilization (or High Level Disinfection)
Sterile areas of the body, including blood contact	 Surgical forceps and a needle holder are shown as examples of critical devices.	Critical	Cleaning and Sterilization

# Endoscopes and HAIs

- Endoscopes most commonly linked to health care associated outbreaks and pseudo-outbreaks
- Flexible endoscopes represent high-risk devices
  - High levels of bacterial contamination
    - Mouth - 200+ species
    - Large intestine - 1,000 species
- Complex designs
- Numerous reports of breaches in reprocessing

# Clostridium difficile

- \$5 billion/year in healthcare costs
- Hospital costs >40% per case
- It's all about 100% spore kill!

**Hospital infection spreads, toughens**

**C. diff cases in region grow.**

**The Washington Post**

**Stomach Bug Mutates Into Medical Mystery**

**Hospitals  
to report  
superbug  
infections**

**C. diff germ kills  
3 more in Cuyahoga**

**HARLAN SPECTOR**  
*Plain Dealer Reporter*

# Technology Hazards

**#2 - Inadequate Cleaning of Flexible Endoscopes before Disinfection Can Spread Deadly Pathogens**



# ERCP Scopes

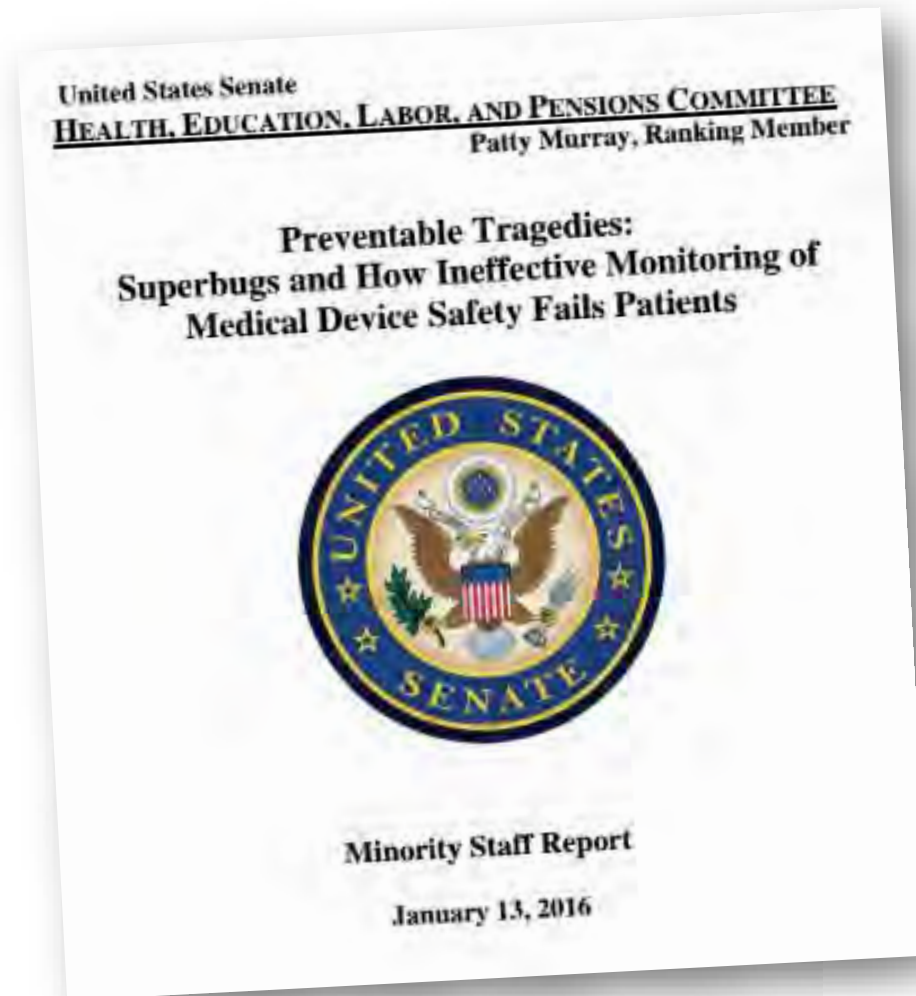
**Deadly superbug infected patients at Seattle hospital**

**'Superbug' linked to 2 deaths**

**Superbug outbreak extends to hospital, linked to scope**

**Medical scope now tied to Wisconsin superbug outbreak**

# Senate Committee on Health, Education, Labor & Pensions



# **Senate Committee: Scope Outbreak Worse Than Reported January 15, 2016**

**Industry silence, hospital missteps, poor regulation cited**

Senate investigations have concluded:

- The global wave of ‘superbug’ infections linked to contaminated duodenoscopes was much wider than previously believed and could have been largely avoided
- At least 250 people in 25 outbreaks worldwide were sickened by the tainted instruments between 2012 and 2015
- The FDA, in turn, failed to alert hospitals, health care workers and the public for 17 months after learning of the potential hazard



# Evaluation of Residual Contamination



Contents lists available at ScienceDirect

American Journal of Infection Control

journal homepage: [www.ajicjournal.org](http://www.ajicjournal.org)



Brief Report

## Assessing residual contamination and damage inside flexible endoscopes over time

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# Study: Damage and Contamination



- Rigorous reprocessing not consistently effective
- Incubation encouraged slow growing microbes
- Need routine visual inspection, and cleaning verification testing

# Infections and Outbreaks



# Stages of Processing



**Contaminated**

**Cleaned**

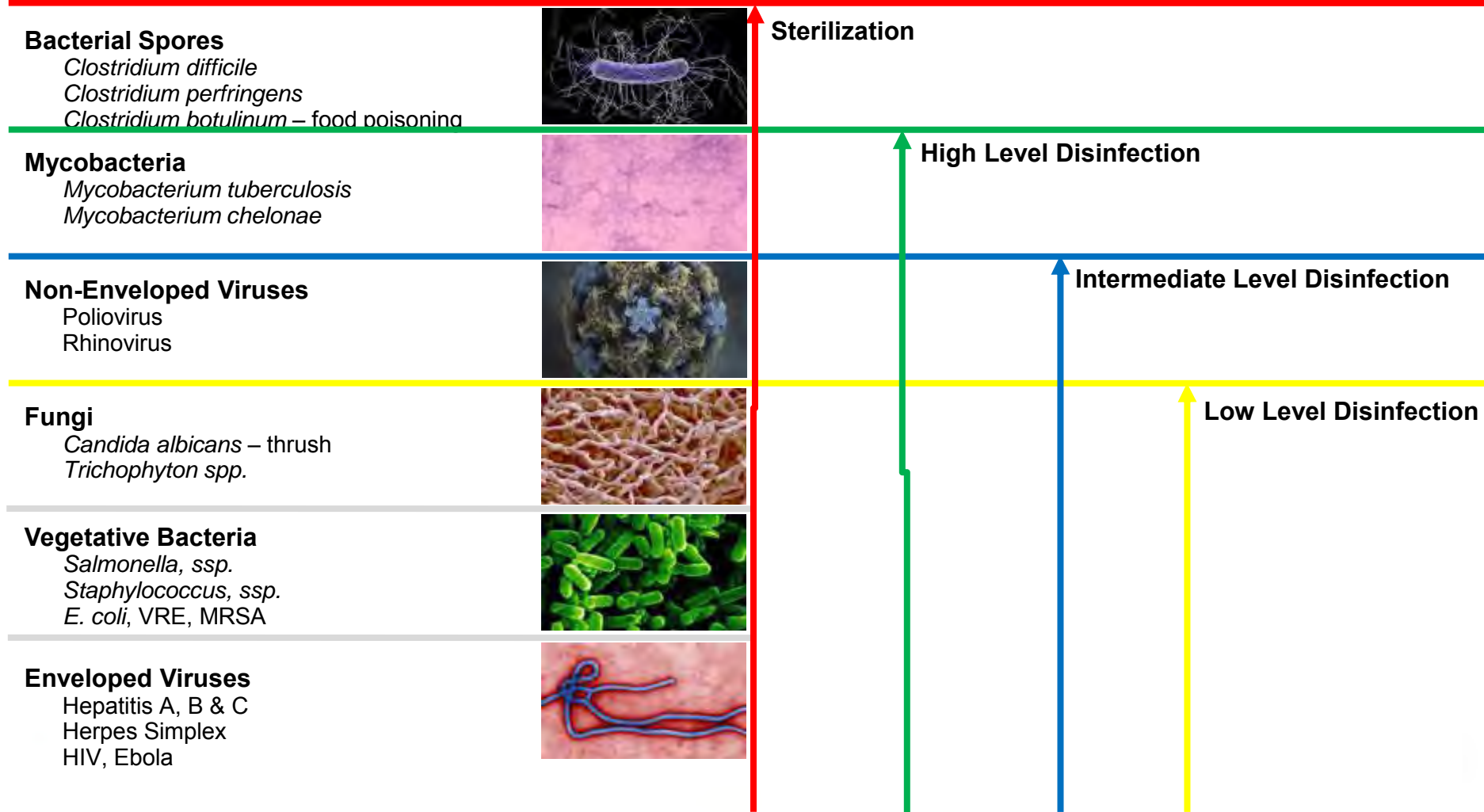
**High- Level  
Disinfection**

**Sterilization**

# Understanding Processing Options

- High-level disinfectant
  - Kills all microorganisms except large number of bacterial spores
  - Use according to label
- Liquid chemical sterilant
  - Product validated to provide microbial kill adequate to obtain FDA clearance for a sterilization label claim (often at longer exposure times)
- Sterilization
  - Validated process to provide free from viable microorganisms

# Hierarchy of Resistance



# Manual vs. Automated



**Manual**

**Automated**

# Automation

- Automate and standardize processing steps
- Reduced chemical exposure
- Specific design-dependant
- Pre-cleaning still required





# Disinfection: Key Points

- Label claims vary
- Single use or multiple use
  - **Note:** Multiple use disinfectants:  
All surfaces of device in contact



# High Level Disinfectant/Sterilants

Chemicals	Sterilant	High Level Disinfection	Notes
3.4% glutaraldehyde 20.1% isopropanol	8 hours at 20oC	10 mins at 20oC	Requires activation 3 rinses following exposure
2% hydrogen peroxide	6 hours at 20oC	8 mins at 20oC	No activation 1 rinse
0.575% OPA	No claim (passes sporicidal test at 32 hours at 20oC)	10 mins at 20oC	No activation 3 rinses
2.4% glutaraldehyde	10 hours at 25oC	45 mins at 25oC	Requires activation 3 rinses following exposure
3.4% glutaraldehyde	10 hours at 25oC	90 mins at 25oC	Requires activation 'Thoroughly' rinse following exposure

# High Level Disinfectants

## Aldehydes

- Glutaraldehyde
- Orthophthalaldehyde (OPA)

## Oxidizing agents

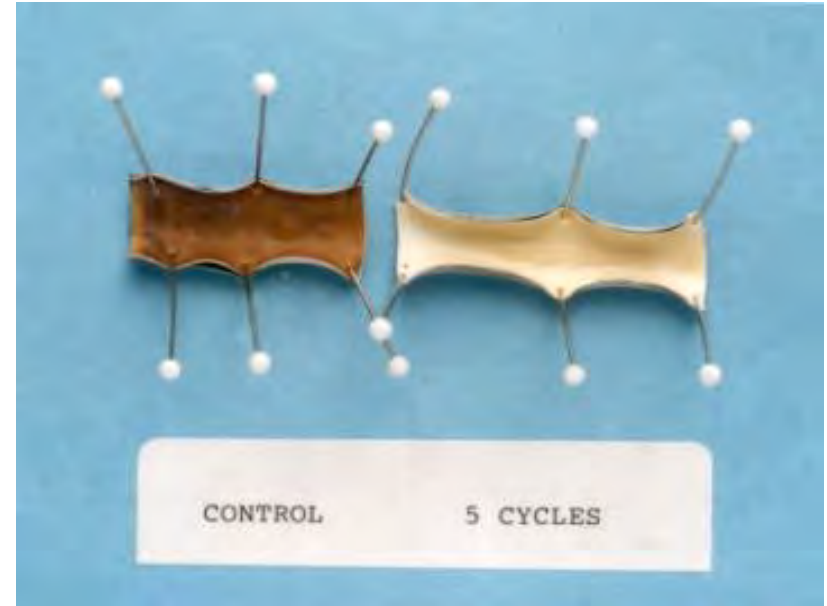
- Hydrogen peroxide
- Peracetic acid



**Each Product is Unique**

# Aldehydes Concerns

- Safety
- Protein binder
- Reduction in use
  - Country-specific regulations
- Development of resistance
- Biofilms



*Before & After 5 Cycles of Peracetic Acid, after long term use of Aldehydes.*

# Aldehydes Concerns, continued

American Journal of Infection Control xxx (2012) 1-3

Contents lists available at ScienceDirect



INFECTION CONTROL

Journal of  
Antimicrobial  
Chemotherapy

*J Antimicrob Chemother*  
doi:10.1093/jac/dku006

## Susceptibility of high-risk human papillomavirus type 16 to clinical disinfectants

Jordan Meyers<sup>1†‡</sup>, Eric Ryndock<sup>2†</sup>, Michael J. Conway<sup>2§</sup>, Craig Meyers<sup>2\*</sup> and Richard Robison<sup>1</sup>

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Down

... and 140 endosonographic pro-  
... was found in the rinsing water and in the drain of 1 of the 2 automated endoscope reprocessors. PFGE revealed  
... distinct *P. aeruginosa* strains, one in each reprocessor. The glutaraldehyde-based disinfectant showed no activity against the 2 pseudo-

# Shift to Oxidative Agents

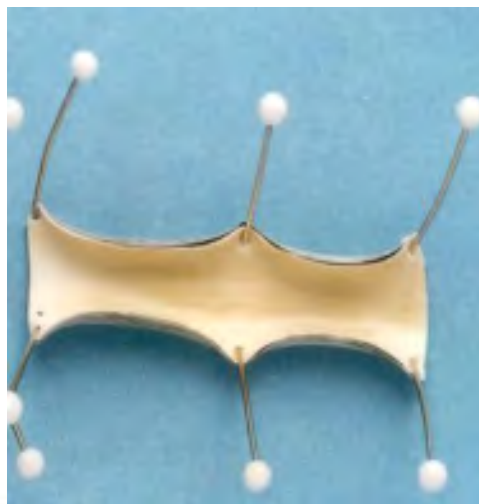
## # 1 Concern: Safety

- Safe for scopes, patients, staff, environment
- Toxicity of aldehydes
- Efficacy
- Employee health
- Aldehyde resistant organisms
- Efficiency



# Oxidizing Chemistries

- Antimicrobial activity, fast cycle times
- Activity can vary on product formulation (e.g., temperature requirements)
- Low toxicity risks
- Effective in aiding the removal of organic matter



# Oxidizing Chemistries, continued

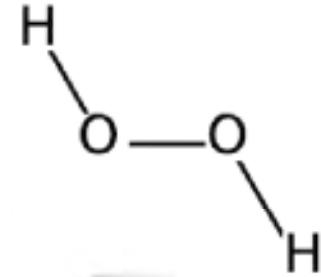
- High level disinfection/sterilization
- Formulation dependent (liquid, gas, plasma)
- Reduce
  - Risk of cross-contamination
  - Risk of infection with resistant bacteria
- Effectiveness against
  - Aldehyde resistant bacteria





# Hydrogen Peroxide (H<sub>2</sub>O<sub>2</sub>)

- Liquid or gas
- Formulation dependent
- HLD/sterilant
  - Environmentally friendly
  - Efficacy & surface compatibility



# Hydrogen Peroxide (H<sub>2</sub>O<sub>2</sub>)

## Applications

- Antisepsis
- Cleaning/Surface disinfection
- Disinfection
  - Buildings
  - Vehicles
  - Hospital rooms
  - Laboratories



# Peracetic Acid (PAA)

- Effective biocide
- High potency
- Effective in presence of organic soil
- No toxic residues
- Combines with proper buffers and anti-corrosives to safely disinfect or sterilize endoscopes and other heat sensitive devise



# Gaseous & Liquid Chemical Sterilization Options



# Sterilization Essentials

- Sterilization is dependent on adequate cleaning, rinsing and device preparation
- Process claims are product specific
- Correct equipment installation, maintenance, use and periodic monitoring required for all systems
- Correct handling (including storage) required after the process

# Ethylene Oxide

- Ensure devices are clean and dry
- Low pressure (vacuum) systems
- Sterilization parameters should be validated by endoscope manufacturer
- Post-sterilization aeration is essential
- Endoscopes may have a limited number of cycles before requiring extensive repair



# Hydrogen Peroxide Gas

- Processes with and without 'plasma'
- Vacuum processes require device venting
- Claims (lumen length and diameter restrictions) are product specific
- Generally critical flexible endoscopes
- Ensure devices are clean and dry before sterilization



# Liquid Chemical Sterilization

- One system cleared as a liquid chemical sterilant processing system by the FDA
  - Liquid chemical sterilization with peracetic acid sterilant
  - Rinsing with extensively treated water
  - Removal of bacteria, viruses, protozoa and fungi
  - Controlled rinsing (non-toxic)
- Cycle time (23 minutes)
- Validated flexible endoscope models including ERCP scopes
  - Includes specially designed connectors





# GI Endoscopes: Shift from Disinfection to Sterilization

EDITORIAL

Editorials represent the opinions of the authors and *JAMA* and not those of the American Medical Association.

## Gastrointestinal Endoscopes A Need to Shift From Disinfection to Sterilization?

William A. Rutala, PhD, MPH; David J. Weber, MD, MPH

**More than 10 million** gastrointestinal endoscopic procedures are performed annually in the United States for diagnostic purposes, therapeutic interventions, or both.<sup>1</sup> Because gastrointestinal endoscopes contact mucosal surfaces, use of a contaminated endoscope may lead to patient-to-patient transmission of potential pathogens with a subsequent risk of infection.<sup>1</sup>

In this issue of *JAMA*, Epstein and colleagues<sup>2</sup> report findings from their investigation of a cluster of New Delhi metallo- $\beta$ -lactamase (NDM)-producing *Escherichia coli* associated with gastrointestinal endoscopy that occurred from March 2013 to July 2013 in a single hospital in northeastern Illinois. During the 5-month period, 9 pa-

First, endoscopes are semicritical devices, which contact mucous membranes or nonintact skin, and require at least high-level disinfection.<sup>3,4</sup> High-level disinfection achieves complete elimination of all microorganisms, except for small numbers of bacterial spores. Because flexible gastrointestinal endoscopic instruments are heat labile, only high-level disinfection with chemical agents or low-temperature sterilization technologies are possible.<sup>3</sup> However, no low-temperature sterilization technology is US Food and Drug Administration (FDA)-cleared for gastrointestinal endoscopes such as duodenoscopes.

Second, more health care-associated outbreaks and clusters of infection have been linked to contaminated endoscopes than to any other medical device.<sup>3,5</sup> However, until now,



Related article page 1447

# FDA Executive Summary, May 2015



*“To date, only liquid chemical sterilants have been cleared by FDA specifically for sterilization of complex endoscopes, such as Duodenoscopes. Ethylene oxide sterilizers have general claims and do not have specific claims for sterilization of Duodenoscopes.” \**

\*FDA Executive Summary: Effective Reprocessing of Endoscopes used in Endoscopic Retrograde Cholangiopancreatography Procedures, pg. 40

# American Journal of Infection Control



One Liquid Chemical Sterilant Processing System that was successfully tested with Duodenoscopes at extreme worst case conditions using *G. stearothermophilus*.

# **Joint Commission HLD/Sterilization Assessment Tool – *High Risk***

- No gross cleaning – soil allowed to dry
- HLD manufacturer's IFUs not followed
- Vaginal/rectal/TEE probes not subject to HLD
- No initial or ongoing staff competency
- Lack of leadership oversight of sterilization/  
HLD processes

# Joint Commission HLD/Sterilization Assessment Tool – *Moderate Risk*

- Gross cleaning not completed at point of use
- HLD/sterilization logs inconsistently completed
- Instruments allowed to dry before cleaning
- Single-use brushes reused
- Multi-use brushes not cleaned between uses

# Quality Control Plan

- Verification points
  - Specific for each product/process
- Standards Recommendations
- Cleaning
- Disinfection
- Sterilization
- Documentation



# Quality Control

- Knowledge of standards and guidelines
- Risk analysis
  - Where are your risks/hazards?
  - What have you done to reduce these?
  - Continuous improvement
- Reprocessing policy
  - Facility/Department
  - Healthcare organizations should maintain records with traceability back to the patient
- Staff training and demonstrated competency

# Action Plan

- Consider the benefits of sterilization versus high level disinfection when making decisions for reprocessing flexible endoscopes.
  - Identify the advantages and disadvantages of aldehyde and oxidative chemistries
- Develop and implement a quality control plan



# Questions



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